

**FORM NO. MGT 9**  
**EXTRACT OF ANNUAL RETURN**  
As on financial year ended on 31.03.2020

Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12(1) of the Company (Management and Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:		
1	CIN	U65100DL1995PTC071198
2	Registration Date	27/07/1995
3	Name of the Company	<b>RATTANINDIA FINANCE PRIVATE LIMITED</b>
4	Category/Sub-category of the Company	Company Limited by Shares
5	Address of the Registered office & contact details	5th Floor, Tower-B, Worldmark 1, Aerocity, New Delhi-110037 Tel: 011-46612666; Fax: 011-46612777
6	Whether listed company	NO
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	<b>KFIN Technologies Private Limited. R/O- Karvy Selenium Tower - B, Plot No.31-32, Financial District, Gachibowli, Nanakramguda, Serilgampally, Hyderabad - 500 032. Phone No. 040-67162222, Fax No. 040-23001153, E-mail: einward.ris@kfintech.com, Website : www.kfintech.com</b>

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	FINANCIAL AND RELATED SERVICES	9971	100%

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES :**

SN	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	<b>RATTANINDIA HOME FINANCE PRIVATE LIMITED</b> Address: 5th Floor, Tower-B, Worldmark 1, Aerocity, New Delhi-110037.	U65999DL2018PTC328336	Subsidiary	100%	2(87)
2	<b>RFIN LOANS PRIVATE LIMITED</b> Address: 5th Floor, Tower-B, Worldmark 1, Aerocity, New Delhi-110037.	U67100DL2018PTC337327	Subsidiary	100%	2(87)

**IV. SHARE HOLDING PATTERN**

(Equity share capital breakup as percentage of total equity)

**(i) Category-wise Share Holding**

Category of Shareholders	No. of Shares held at the beginning of the year [As on 1-April-2019]				No. of Shares held at the end of the year [As on 31-March-2020]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
<b>(1) Indian</b>									
a) Individual/ HUF	427,997,050	1	427,997,051	33.37%	427,997,150	1	427,997,151	33.37%	0.00%
b) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Any other	213,351,341	-	213,351,341	16.63%	213,351,241	-	213,351,241	16.63%	0.00%
<b>Sub Total (A) (1)</b>	<b>641,348,391</b>	<b>1</b>	<b>641,348,392</b>	<b>50.00%</b>	<b>641,348,391</b>	<b>1</b>	<b>641,348,392</b>	<b>50.00%</b>	<b>0.00%</b>
<b>(2) Foreign</b>									
a) NRI Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Other Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Any other	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>Sub Total (A) (2)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.00%</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.00%</b>	<b>0.00%</b>
<b>TOTAL (A)</b>	<b>641,348,391</b>	<b>1</b>	<b>641,348,392</b>	<b>50.00%</b>	<b>641,348,391</b>	<b>1</b>	<b>641,348,392</b>	<b>50.00%</b>	<b>0.00%</b>
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>									
a) Mutual Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Insurance Companies	-	-	-	0.00%	-	-	-	0.00%	0.00%
g) FIs	-	-	-	0.00%	-	-	-	0.00%	0.00%
h) Foreign Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
i) Others (specify)	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>Sub-total (B)(1):-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.00%</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.00%</b>	<b>0.00%</b>

<b>2. Non-Institutions</b>										
a) Bodies Corp.										
i) Indian	-	-	-	0.00%			-	-	-	0.00%
ii) Overseas	-	-	-	0.00%			-	-	-	0.00%
b) Individuals	-	-	-	0.00%			-	-	-	0.00%
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	-	-	-	0.00%			-	-	-	0.00%
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	0.00%			-	-	-	0.00%
c) Others (specify)	-	-	-	0.00%			-	-	-	0.00%
Non Resident Indians	-	-	-	0.00%			-	-	-	0.00%
Overseas Corporate Bodies	-	641,348,392	641,348,392	50.00%			-	641,348,392	641,348,392	50.00%
Foreign Nationals	-	-	-	0.00%			-	-	-	0.00%
Clearing Members	-	-	-	0.00%			-	-	-	0.00%
Trusts	-	-	-	0.00%			-	-	-	0.00%
Foreign Bodies - D R	-	-	-	0.00%			-	-	-	0.00%
<b>Sub-total (B)(2):-</b>	-	<b>641,348,392</b>	<b>641,348,392</b>	<b>50.00%</b>			-	<b>641,348,392</b>	<b>641,348,392</b>	<b>50.00%</b>
<b>Total Public (B)</b>	-	<b>641,348,392</b>	<b>641,348,392</b>	<b>50.00%</b>			-	<b>641,348,392</b>	<b>641,348,392</b>	<b>50.00%</b>
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	-	-	-	<b>0.00%</b>			-	-	-	<b>0.00%</b>
<b>Grand Total (A+B+C)</b>	<b>641,348,391</b>	<b>641,348,393</b>	<b>1,282,696,784</b>	<b>100.00%</b>			-	<b>641,348,393</b>	<b>1,282,696,784</b>	<b>100.00%</b>

**(ii) Shareholding of Promoter**

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Mr. Rajiv Rattan	-	0.00%	-	100	0.00%	-	0.00%
2	Ms. Anjali Nashier*	427,997,051	33.37%	-	427,997,051	33.37%	-	0.00%
3	The Hamlin Trust	213,351,341	16.63%	-	213,351,241	16.63%	-	0.00%

\*One equity share of Mrs. Anjali Nashier held by Nominee, Mr. Ram Kumar.

**(iii) Change in Promoters' Shareholding (please specify, if there is no change)**

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
<b>1. Mr. Rajiv Rattan</b>							
	At the beginning of the year	01.04.2019		-	0.00%	-	0.00%
	Changes during the year	24.04.2019	Transfer	100	0.00%	100	0.00%
	At the end of the year	31.03.2020		100	0.00%	100	0.00%

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
<b>2. The Hamlin Trust</b>							
	At the beginning of the year	01.04.2019		213,351,341	16.63%	213,351,341	16.63%
	Changes during the year	24.04.2019	Transfer	100	0.00%	213,351,241	16.63%
	At the end of the year	31.03.2020		213,351,241	16.63%	213,351,241	16.63%

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
<b>3. Mrs. Anjali Nashier</b>							
	At the beginning of the year	01.04.2019		427,997,051	33.37%	427,997,051	33.37%
	Changes during the year			No Change During the Year			
	At the end of the year	31.03.2020		427,997,051	**33.37%	427,997,051	**33.37%

**(iv) Shareholding Pattern of top ten Shareholders**

(Other than Directors, Promoters and Holders of GDRs and ADRs):

SN	For each of the Top 10 shareholders	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	<b>LSF 10 Rose Investments S.a.r.l</b>						
	At the beginning of the year	01.04.2019		641,348,392	50.00%	641,348,392	50.00%
	Changes during the year			No Change During the Year			
	At the end of the year	31.03.2020		641,348,392	50.00%	641,348,392	50.00%

**(v) Shareholding of Directors and Key Managerial Personnel:**

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
<b>1. Mr. Rajiv Rattan</b>							
	At the beginning of the year	01.04.2019		-	0.00%	-	0.00%
	Changes during the year	24.04.2019	Transfer	100	0.00%	100	0.00%
	At the end of the year	31.03.2020		100	0.00%	100	0.00%
<b>2. Mr. Anand Balakrishna Madduri (ceased w.e.f January 15, 2020)</b>							
	At the beginning of the year	01.04.2019		-	0.00%	-	0.00%
	Changes during the year						
	At the end of the year	31.03.2020		-	0.00%	-	0.00%
<b>3. Mr. Samuel Armand Baillache (ceased w.e.f February 21, 2020)</b>							
	At the beginning of the year	01.04.2019		-	0.00%	-	0.00%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31.03.2020		-	0.00%	-	0.00%
<b>4. Ram Kumar</b>							
	At the beginning of the year	01.04.2019		-	0.00%	-	0.00%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31.03.2020		-	0.00%	-	0.00%
<b>5. STEVEN A BERNSTEIN (Appointed w.e.f January 15, 2020)</b>							
	At the beginning of the year	01.04.2019		-	0.00%	-	0.00%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31.03.2020		-	0.00%	-	0.00%
<b>6. SAIF SULTAN QURESHI (Appointed w.e.f February 21, 2020)</b>							
	At the beginning of the year	01.04.2019		-	0.00%	-	0.00%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31.03.2020		-	0.00%	-	0.00%

**V. INDEBTEDNESS :**

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. in lakhs.)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	500,000,000	951,181,055	-	1,451,181,055
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	4,246,571	-	-	4,246,571
<b>Total (i+ii+iii)</b>	<b>504,246,571</b>	<b>951,181,055</b>	<b>-</b>	<b>1,455,427,626</b>
<b>Change in Indebtedness during the financial year</b>				
* Addition	-	48,818,945.00		48,818,945
* Reduction	504,246,571.00	1,000,000,000.00		1,504,246,571
Net Change	(504,246,571.00)	(951,181,055.00)		(1,455,427,626)
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

A. Remuneration to Managing Director, Whole-time Directors and/or Manager: NIL

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount
	Name	-	-	-
	Designation	-	-	-
1	Gross salary	-	-	-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	Total (A)	-	-	-
	Ceiling as per the Act	-	-	-

B. Remuneration to other Directors : NIL

SN.	Particulars of Remuneration	Name of Directors						Total Amount	
								(Rs.)	
1	Independent Directors	-	-	-	-	-	-	-	
	Fee for attending board committee meetings	-	-	-	-	-	-	-	
	Commission	-	-	-	-	-	-	-	
	Others, please specify	-	-	-	-	-	-	-	
	Total (1)	-	-	-	-	-	-	-	
2	Other Non-Executive Directors	Name : Mr. Rajiv Rattan	Name : Mr. Samuel Armand Baillache (ceased w.e.f. February 21, 2020)	Name : Mr. Anand Balakrishna Madduri (ceased w.e.f. January 15, 2020)	Name : Mr. Ram Kumar	Name : Mr. Steven A Bernstein (Appointed w.e.f January 15, 2020)	Name : Mr. Saif Sultan Qureshi (Appointed w.e.f February 21, 2020)		-
	Fee for attending board committee meetings	-	-	-	-	-	-	-	
	Commission	-	-	-	-	-	-	-	
	Others, please specify	-	-	-	-	-	-	-	
	Total (2)	-	-	-	-	-	-	-	
	Total (B)=(1+2)	-	-	-	-	-	-	-	
	Total Managerial Remuneration	-	-	-	-	-	-	-	
	Overall Ceiling as per the Act	-	-	-	-	-	-	-	

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

SN.	Particulars of Remuneration	Name of Key Managerial Personnel				Total Amount
	Name	Mr. Rajiv Rattan	Mr. Manish Ratnakar Chitnis	Mr. Gaurav Toshkhani (Appointed w.e.f May, 20, 2019)	Mr. Karan Maken (resigned w.e.f May 18, 2020)	(Rs.)
	Designation	Chief Executive Officer (CEO)	Chief Financial Officer (CFO)	Company Secretary (CS)	Company Secretary (CS)	
1	Gross salary					
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	60,000,000	10,225,650	3,615,449	112,680	73,953,779.00
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961					
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961					
2	Stock Option		400,000	300,000		
3	Sweat Equity					
4	Commission					
	- as % of profit					
	- others, specify					
5	Others, please specify					

**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES: NIL**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
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**A. COMPANY**

Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-

<b>B. Directors</b>					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-

For and on behalf of the Board of Directors

Rajiv Rattan  
(Chairman)

Place: New Delhi  
Date: September 8, 2020